



JC20 Rec'd PCT/PTO 2 0 MAY 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application. No.

U.S. Filing of International Application PCT/EP2003/012451

Applicants

Schilling, et al.

Confirm. No.

Unassigned

Filed

Herewith

Title

LAYER ARRANGEMENT PROVIDED WITH A STRUCTURE

PRODUCING A DIFFRACTIVE OPTICAL EFFECT AND A

LENS-TYPE EFFECT

TC/A.U.

N/A

Examiner

N/A

Docket No.

1093-128 PCT/US

Dated

May 20, 2005

Mail Stop PCT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

I hereby certify this correspondence is being deposited with the United States Postal Service as Express Mail No. EL 584403685 US postpaid in an envelope, addressed to: Mail Stop PCT, Commissioner for Patents, P. D. Box 1450, Alexandria, Va 22813-1450 on May 20 2005

INFORMATION DISCLOSURE STATEMENT

Sir:

In order to fulfill the requirements of candor and good faith set forth in 37 C.F.R. §1.56, Applicants submit herewith the following Information Disclosure Statement in accordance with the provisions of 37 C.F.R. §1.97 and §1.98.

UNITED STATES PATENTS

<u>PATENTEE</u>	PATENT NO.	PATENT DATE
Becker, et al.	4,765,656*	August 23, 1988
Bradshaw, et al.	4,714,656*	December 22, 1987
Staub, et al.	6,324,004	November 27, 2001



PATENTEE PUBLICATION NO. PUBLICATION DATE

Taylor, et al. 2002/0008380 A1 January 24, 2002

FOREIGN PATENT DOCUMENTS

COUNTRY	PUBLICATION NO.	PUBLICATION DATE
WIPO	WO 97 19820*	June 5, 1997
Europe	EP 1 152 369 A1*	November 7, 2001
Europe	EP 1 182 054 A2*	February 27, 2002
Germany	DE 199 49 945 A1	August 30, 2001
WIPO	WO 99 15919	April 1, 1999
Germany	DE 100 54 503 A1	June 6, 2002
Europe	EP 1 076 315 A1	February 14, 2001
WIPO	WO 94 27254	November 24, 1994

A separate listing of each of the references set forth above has also been provided on the attached Form PTO-1449. References cited in the International Search Report (ISR), which accompanies this submission, are indicated by an asterisk. A copy of all references is enclosed herewith. A corresponding U.S. patent or publication, or an English abstract of any non-English foreign patent document has also been provided to facilitate reference thereto.

Applicants respectfully submit that the requirements of candor and good faith set forth in 37 C.F.R. §1.56 have been fulfilled, having submitted all known references in compliance with 37 C.F.R. § 1.97 and § 1.98. Applicants believe that no fee is due at this time. If it is determined that a fee is due, please charge our Deposit Account No. 08-2461.

10/535732

In view of the present submission, it is believed that the above-referenced application is, in all respects, complete and in condition for examination and favorable consideration. If the Examiner has any questions or comments relating to the present application, he or she is respectfully invited to contact Applicants' attorney at the telephone number set forth below.

Respectfully submitted,

Betsy Kingsbury Dowd Registration No.: 52,830 Attorney for Applicant(s)

HOFFMANN & BARON, LLP 6900 Jericho Turnpike Syosset, New York 11791-4407 (516) 822-3550

BKD:tt

204840_1



FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.	QL/A5/35732
1093-128 PCT/US	Unassigned
APPLICANT Schilling, et al.	CONFIRMATION NO. Unassigned
FILING DATE	GROUP
Herewith	Unassigned

U.S. PATENT PUBLICATIONS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	2002/000838	1/24/02	Taylor, et al.			

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DAT
	4,765,656*	8/23/88	Becker, et al.			
	4,714,656*	12/22/87	Bradshaw, et al.			
	6,324,004	11/27/01	Staub, et al.			

FOREIGN PATENT DOCUMENTS

EXAMINER	DOCUMENT DATE		COUNTRY	CLASS	SUB	TRANSLATION	
INITIAL	NUMBER				CLASS	YES	NO
	WO 97 19820 *	6/ 5/97	WIPO				
	 EP 1 152 369 A1*	11/7/01	Europe				
	 EP 1 182 054 A2*	2/27/02	Europe				
	DE 199 49 945 A1	8/30/01	Germany				
	 WO 99 15919	4/1/99	WIPO				

	OTHER	DO	CUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
2			

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.



FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

DOCUMENT NUMBER

ATTY. DOCKET NO.	SERIAL NO.
1093-128 PCT/US	Unassigned
APPLICANT Schilling, et al.	CONFIRMATION NO. Unassigned
FILING DATE	GROUP
Herewith	Unassigned

			CLASS	FILING DATE IF APPROPRIATE
U.S. PATE	NT DOCUME	NTS		

EXAMINER INITIAL DOCUMENT NAME CLASS SUB CLASS FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

EXAMINER	DOCUMENT NUMBER	DATE COUNTRY	CLASS	SUB	TRANSLATION		
INITIAL	Joseph Little World Little			01.00	CLASS	YES	NO
	DE 100 54 503 A1	6/6/02	Germany		,		
	EP 1 076 315 A1	2/14/01	Europe				
	WO 94 27254	11/24/94	WIPO				

 OTHER	DO	CUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
			_
	L		

EXAMINER

EXAMINER

INITIAL

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.